

ACCOUNT OPENING FORM

Account Type :

Account No :

BO ID No :

Name :

Contact :

শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ।
জেনে ও বুঝে বিনিয়োগ করুন।

REQUIRED DOCUMENTS

List of Required Documents for individual Account:

Yes / No

1.	2 Passport Size Photograph		
2.	1 Passport Size Nominees Photograph (Attested by the applicant. In case the nominee is minor, a document of guardian must be given by the applicant.		
3.	Photocopy of National ID/ Passport/ Driving License/Nationality Certificate with attested Photo		
4.	Bank Certificate / Bank Statement/Copy of MICR cheque leaf		
5.	Photocopy of Employee ID Card / (applicable only for service holder)		
6.	1 photo of authorized person, if any (Attested by the applicant) and a copy of National ID		
7.	Copy of e-TIN certificate		

List of Required Documents for Joint Applicant:

1.	2 Passport Size Photograph		
2.	Photocopy of National ID/ Passport/ Driving License/Nationality Certificate with attested Photo		
3.	Photocopy of Employee ID Card / (applicable only for service holder).		
4.	Photocopy of National ID		
5.	Copy of e-TIN certificate		

List of Required Documents for Joint Applicant:

1.	2 Photographs of Managing Director/CEO		
2.	2 Photographs of Account operator attested by the MD/CEO (Authorized by the Board),		
3.	Photocopy of Managing Director/CEO's National ID card / Passport / Driving License		
4.	Photocopy of Account Operator's National ID card / Passport / Driving License		
5.	Bank Certificate / Bank Statement of the organization		
6.	Board extract regarding open and operate the portfolio account		
7.	Memorandum, Trade License, Certificate of Incorporation of the Company		
8.	Photocopy of e-TIN of the company		

List of Required Documents (Partnership):

1.	Photocopy of Valid Trade License		
2.	Partnership deed		
3.	3 Photograph of Authorized signatory (if any) attested by all the partners		
4.	Partners letter of authority to open account and authorization for operation		
5.	Bank Statement/Bank Certificate		
6.	Photocopy of e-TIN certificate		

List of Required Documents for Joint Applicant:

1.	List of Required Documents (Association/Trust/Society/Provident fund):		
2.	Photocopy of constitution/ bylaws/ rules		
3.	Certificate of registration		
4.	List of members of the trustee board along with addresses, Copy of NID, 1Copy of photograph of each person		
5.	Photocopy of resolution of governing bodies to open the account & authorization for operation.		
6.	List of authorized signatories along with addresses, Copy of NID		
7.	3 copies of photograph of authorized signatories		
8.	Bank Statement/Bank Certificate		
9.	Bank Statement/Bank Certificate		

* Signature on every page where (✓) marked

Declaration: The above Documents provided by me/us are correct.

Signature of Principal Applicant

Signature of Joint Applicant

DISCRETIONARY PORTFOLIO ACCOUNT

<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Account Type <input type="checkbox"/> Individual <input type="checkbox"/> Joint <input type="checkbox"/> Corporate		Date:	
Discretionary Portfolio Products		Photo of Applicant		Photo of Joint Applicant	
<input type="checkbox"/> LankaBangla Nishchinto					
<input type="checkbox"/> Alpha Plus					
<input type="checkbox"/> Alpha Plus Initial					
<input type="checkbox"/> Equity Sharing					
<input type="checkbox"/>					
Principal Application Information (Fill up in Block Letter)					
Account Holder					
Father's Name					
Mother's Name					
Spouse Name					
(In case of Company/Firm/ Statutory Body)		Reg No.		Reg. Date:	
Name of MD/CEO					
Name of MD/CEO					
NID/Passport No.		ETIN			
Date of Birth (DD/MM/YYYY)	Nationality	Occupation			
Designation	Employer/Company name				
Mobile:	Phone:	Email:			
Address (Mailing)		Address (Permanent)			
Bank Information					
Bank Name		Branch			
Account No.		Routing No.			
Joint Applicant Information			Relation with Principal Applicant		
Account Holder					
Father's Name					
Mother's Name					
Spouse Name					
Date of Birth (DD/MM/YYYY)	NID/Passport No.	Occupation			
Nationality	Residency <input type="checkbox"/> Resident <input type="checkbox"/> Non Resident				
ETN	Employer/Company name				
Mobile:	Phone:	Email:			
Address (Permanent)		Address (Present)			

Signature of Principal Applicant

Signature of Joint Applicant

Nominee Information (1)	Nominee Information (2)
Nominee Name:	Nominee Name:
Date of Birth _____ share(%) _____ Relation _____	Date of Birth _____ share(%) _____ Relation _____
Address:	Address:
Guardian Name (If nominee is minor)	
Relation Address	

I/We, the undersigned, request you to open a Portfolio Account in LankaBangla Investments Ltd. in the name stated below. I/We have read and fully understood the instructions enclosed. I/We am/are also well aware of the BSEC rules, regulations and procedures regarding operations of a Discretionary Portfolio Account with LankaBangla Investments Ltd. and agree to abide by the rules and regulations governing the Investment Account in future. All relevant particulars about myself/ourselves are furnished for your record:

Features of Discretionary Portfolio products

The Portfolio Manager may change from time to time any of the Following Features

Particulars	
Product Name	
Documentation Charge	
Management Fee	
Brokerage Fee	
Amount	
Investment Tenure	
Profit Sharing	
Investment Ratio	
Minimum Investment Tenure	
Early Settlement Fee	
Remarks	

Signature of Principal Applicant

Signature of Joint Applicant

DISCRETIONARY PORTFOLIO MANAGEMENT AGREEMENT

INVESTOR'S PORTFOLIO MANAGEMENT AGREEMENT (DISCRETIONARY PORTFOLIO ACCOUNT SERVICES)

This Agreement is made and entered into on
This Agreement is made and entered into on

Agreement No.:
Agreement No.:

By and Between

The Portfolio Manager : **LankaBangla Investments Limited**
The Client & address :

WHEREAS, the Client (as defined hereunder) wishes to avail the service of the Portfolio Manager for professional management and administration of portfolio of securities through opening a Discretionary Portfolio Account with the Portfolio Manager and the Portfolio Manager has agreed to render its expertise and services with respect to management and administration of the portfolio of the Client on the terms and conditions set forth hereunder:

NOW THEREFORE, in consideration of mutual covenants herein set forth, the parties hereto agree as follows:

Definitions

"Approved Portion" shall mean the portion of the Portfolio of the Client, as determined by the Portfolio Manager, necessary to be disposed off for setting the liabilities of the Client, which shall be decided upon at the sole discretion of the Portfolio Manager.

"Authorized Person" shall mean the mandate appointed by the Client under Article 1-11.

"Business Day" means the days on which banks and financial institutions are open for business in Bangladesh, except for Fridays and public holidays.

"Eligible Claim" shall mean the claim of the Client on the corporate announcements has been legally established.

"Equity" shall mean total value of the Portfolios in the relevant investment account less Debt Liabilities (as defined hereunder) including accrued interest, if any.

"Client" shall mean such person, firm, company, trust, or association who has appointed the Portfolio Manager for rendering its services under the terms and conditions of this Agreement.

"Debt Liability" shall mean total Margin Loan to the account including accrued interest at a specified time.

"Portfolio Manager", "Manager" and "Custodian" shall mean LankaBangla Investments Limited (LBIL) – a limited company incorporated under the laws of Bangladesh and having its registered office at A-A Bhaban (6th Floor) 23 Motijheel C/A, Dhaka-1213

"Initial Fund" shall mean the minimum investment amount as stipulated in Schedule I.

"Institutional Client" means such client who is a firm, company, trust or association.

"Investment Account" shall mean such other account opened with the Portfolio Manager including the Discretionary Portfolio Account managed by the Portfolio Manager.

"Margin Deposit" means the deposit to be made by the Client into the Discretionary Portfolio Account in accordance to Article 2 and Schedule I hereunder. The Margin Deposit shall be in the form of cash and cheque only.

"Margin Loan" means the loan provided to the Client for investment in securities in accordance to the terms and conditions of this Agreement.

"Net Asset Value" shall mean total portfolio value including cash, accrued dividend and interest less total liabilities including margin loan, accrued interest, management fee, brokerage commission and other applicable charges.

"Portfolio" shall mean a group of securities, including but not limited to shares, debentures, bonds, treasury bills, certificates and other selections from the equity, capital and money market.

"Valuation of Policy" means the policy adopted by the Portfolio Manager for valuing the assets of the Portfolio of the Client delineated in Schedule IV of this Agreement. The Portfolio Manager reserves the right to revise the provisions of the Valuation Policy from time to time as it deems necessary.

"Account Opening Form" shall mean the 'Form – A' and 'Form – B'.

Article – 1 Terms of Investment

- 1-1 Prior or upon execution of this Agreement, the Client shall: i) open a Discretionary Portfolio Account with the Portfolio Manager with a deposit of the Initial Fund for investment purpose; and ii) execute Letter of Lien and set a lien over the assets of the Portfolio in favor of the Portfolio Manager.
- 1-2 The Portfolio Manager shall maintain separate designated bank account(s) in a schedule bank for keeping and managing the funds received from or on account of the Client from time to time, including the Initial Fund.
- 1-3 The Portfolio Manager shall open a trading sub account in the name of the Client.
- 1-4 The Portfolio Manager shall execute the trades through the registered broker with whom the trading sub-account of the Client is maintained.
- 1-5 The Portfolio Manager shall have absolute discretion to make investment decisions and execute trades through any number of designated registered broker of the stock exchanges.
- 1-6 The Portfolio Manager may, at its discretion, from time to time, add new securities to or remove existing securities.
- 1-7 The Portfolio Manager shall under no circumstances be responsible or liable for any result whether adverse or otherwise of the investment outcome of the account.

DISCRETIONARY PORTFOLIO MANAGEMENT AGREEMENT

- 1-8 The client acknowledges that the Portfolio Manager neither guarantees any rate of return or profit from any investment to the Client, nor shall be responsible for any losses caused to the Client due to fluctuations of the capital market.
- 1-9 The Client may from time to time appoint or remove any person as a mandate by submission of the form set out in Schedule (V).
- 1-10 The Portfolio Manager may act on the instructions of an Authorized Person until it actually receives written notice from the Client of the removal of such Authorized Person and may in any event act on any instructions issued by the Authorized Person before the date of receipt of the written notice of such removal.
- 1-11 In case the Client is a limited company, institution, association, trust or a firm, the signatories to this Agreement the Client shall provide full corporate documents, including Board approvals and shareholder approval (if any) supporting the appointment of the Authorized Person prior to the appointment.
- 1-12 Pursuant to the Bangladesh Securities and Exchange Commission (Merchant Banker and Portfolio Manager) Regulation, 1996 the Client shall provide a statement to the Portfolio Manager declaring any directorship of any private/public limited company of Bangladesh in 'Form - B'.

Article - 2 Trade Allocation

- 2-1 All trades executed shall be allocated to the accounts proportionate to the respective orders made against each account at the end of each trading day.
- 2-2 Allocation shall be made at average transaction price of all securities.
- 2-3 Allocations shall be made at the multiple of market lot of securities.

Article - 3 Margin Loans

- 3-1 Margin Loan may be generated in the account due to charges and excess purchases arising out of allocation process in the course of normal operation.
- 3-2 Portfolio Manager will take immediate measures to adjust the loan amount.

Article - 4 Trading Error

- 4.1 The Portfolio Manager shall not be responsible for or assumes any obligation to compensate for any damages or loss suffered by the Client due to a trading error committed by the broker.
- 4.2 A broker shall have committed a trading error, if any discrepancy is detected between the written order placed to the broker and the trade confirmations received from the broker in the following aspects :
- (a) The securities traded differ from the order placed.
 - (b) Executing erroneous trades which differs from the order placed by the Portfolio Manager, i.e., executing buy order while sale order was placed and vice versa.
 - (c) Excess order carried out by the broker(s). However, executing lesser quantity of order shall not be deemed to be a trading error.

Article - 5 Covenants

- 5.1 The Portfolio Manager will exercise diligent efforts, without any obligation in buying and selling securities and rendering services related to the maintenance and administration of the Portfolio of the Client in accordance with the instructions of the Client.
- 5.2 The Portfolio Manager will maintain separate client-wise accounts for the fund and the securities of its clients.
- 5.3 The Portfolio Manager shall act as custodian to all the securities of the Portfolio and shall exercise all care and due diligence in the dealing, administration and management of the account(s) and the securities of the Client.

Article - 6 Representations and warranties

- 6.1 Each party hereto represents and warrants that the party:
- i) has the requisite authority or corporate authority (as the case may be) to enter into this Agreement and consummate the transactions contemplated by this Agreement, including the obtaining of all requisite consents or approvals of, or exemptions by, any state, governmental or public bodies and authorities;
 - ii) the signing and delivery of this Agreement and all documents in contemplation thereto and the performance of any transactions contemplated there under will not:
 - contravene or constitute a default under any provision contained in any agreement, instrument, law, judgment, order, license, permit or consent by which the party is bound or affected; or
 - cause any limitation on the party (whether imposed by any of its constitutional documents or by any law, order, judgment, agreement, instrument or otherwise) to be exceeded
- 6.2 The representations and warranties in this Article shall be deemed to be repeated, updated mutatis mutandis at each such date, on the date of each transaction undertaken under this Agreement.
- 6.3 The Client shall always remain responsible for informing in writing to the Portfolio Manager about the Client's status of ownership of shares in any single company and in particular if the extent of Client's control over shares in a company in any way qualify as 'substantial shareholding' or qualify for any reporting requirement. The Client shall also remain responsible to forthwith inform the Portfolio Manager in accordance with law about any event, which may constitute 'price sensitive information' as per law.

Article - 7 Responsibilities of Portfolio Manager

- 7.1 The Portfolio Manager shall
- (a) maintain the Client's account separately from its own account;
 - (b) provide the Client with periodic reports on the Portfolio, at least once in every six months containing details of the Portfolio, transactions, cash position, income and expenses; and
 - (c) Keep all securities bought in the account of the Client in safe custody.
- 7.2 The Portfolio Manager shall not:
- (a) receive any advantage from the funds or Portfolio of the Client;
 - (b) furnish any exaggerated information to the Client regarding its competence, skill and success; and
 - (c) Disclose any material financial information of the Client to anybody unless it is required at the direction of any government regulatory body.

Article - 8 Fees, Charges and Interest

- 8.1 The Portfolio Manager may revise the fees and interest payable at any point of time with prior intimation and agreement of client and the client.
- 8.2 In case of failure to pay the Portfolio Manager any of the fees, charges or expenses under this Agreement within 15 (Fifteen) days after such payment becomes due, the Portfolio Manager may realize the accrued charges/fees and interest by exercising its lien over the assets of the Portfolio in the manner stipulated in Article 9 hereunder.

DISCRETIONARY PORTFOLIO MANAGEMENT AGREEMENT

Article – 09 Reporting and Notifying

9.1 The Portfolio Manager will provide the Investor a report at least once in every three months that will contain details of formation of the portfolio, securities, cash and NAV on the date of reporting, details of all transactions, all financial gains received and expenses incurred.

9.2 The Portfolio Manager may provide any other optional reports upon written request of the Client where it deems appropriate.

Article – 10 Expiration

10.1 In the event of death of the Client, the nominee(s) as prescribed by the Client in the Application Form shall be the only person(s) recognized by the Manager as being entitled to the assets of the portfolio.

10.2 In case nominee(s) are not mentioned, the Portfolio Manager shall not be obliged to deal with any person or persons to accept any right, title or interest of any person unless such person is holding a valid succession certificate, probate or letter of administration covering the investment from a court of competent jurisdiction and subject to such further documents or evidence that the Portfolio Manager may require.

Article – 11 Valuation of Assets

11.1 The assets of the Portfolio of the Client shall be valued following the provisions of the Valuation Policy adopted by the Portfolio Manager delineated in Schedule VI of this Agreement.

11.2 The Portfolio Manager may from time to time revise all or any provisions of the Valuation Policy. However, the Manager shall notify the Client any such revisions.

11.3 The value of the Portfolio shall be applied for determining management fee and other applicable charges and also shall be used for reporting purposes.

Article-12 Registration of Securities

12.1 The Portfolio Manager will ensure registration of all the securities acquired for the Client within 48 hours before the relevant closure to books.

12.2 In case any securities acquired for the Client become available within 24 hours of book closure/record date, the Portfolio Manager shall in its best endeavor, without assuming any obligation, procure the registration of the securities on an emergency basis.

12.3 However, the Portfolio Manager shall not be held responsible for any loss caused to the Client due to non-registration of securities.

Article – 13 Notice

13.1 Any notice provided for in this Agreement shall be in writing and shall be first transmitted by facsimile transmission, and then confirmed by registered mail or courier service, in the manner as elected by the party giving such notice to the addresses provided in schedule I.

13.2 All notices shall be deemed to have been validly given on (i) the Business Day when the transmission was made, if transmitted by facsimile transmission, or (ii) the Expiry of 3 (three) Business Day after posting if transmitted by registered mail or (iv) the Business Day of receipt, if transmitted by courier.

13.3 Any Party may, from time to time, change its address or representative for receipt of notices provided for in this Agreement by giving to the other party, not less than 10 (Ten) days prior written notice.

Article –14 Termination of Agreement

14.1 This Agreement shall continue in force until terminated by either party giving to the other not less than 60 (sixty) Business Day's notice in writing provided that either party may upon serving written notice to terminate this Agreement in occurrence of any of one of the following events:

(a) The other party is in breach of any material terms of this Agreement, which has not been remedied within 15 (Fifteen) days after serving of written notice requiring the breach to be remedied.

(b) The other party has faced bankruptcy or liquidation proceeding; or a resolution is passed for its winding up; or a receiver or similar officer is appointed over any assets of the party.

(c) Voluntary or compulsory termination of portfolio management services by the Portfolio Manager.

(d) Suspension or termination of registration of Portfolio Manager by the Bangladesh Securities and Exchange Commission (BSEC).

(e) Occurrence of any other matter that causes permanent disability for the Portfolio Manager to carry out the portfolio management functions.

14.2 Upon termination of this Agreement, the Portfolio Manager shall at the option of the Client either deliver the securities or cash in its custody hereunder to the Client, or to a bank or agent of the Client's selection provided, however, that the Portfolio Manager shall not be required to make any such delivery or payment until full payment shall have been made by the Client of all the fees, cost and expenses due to the Portfolio Manager, including reasonable expenses incurred in connection with such delivery of securities and cash.

14.3 The Portfolio Manager reserves the right and sole discretion to liquidate the Portfolio of the Client in whole or in part without notice to the client and to disburse the NAV to the Client if the Portfolio Manager deems that such action is necessary or expedient for any reason whatsoever, including the compliance of any applicable law or regulation.

14.4 Settlement of cash and delivery of securities, if any will be made within seven (7 Business Days) by the portfolio manager provided such securities are available with the manager. However if the client opts for settling of securities by selling them in the market and if the portfolio manager agrees to such proposal it will be made within a reasonable time allowed by the market conditions.

14.5 This agreement shall remain in force at least for a period of one year provided that if the client intends to terminate the agreement at a time earlier than one year, he may do so on payment of a fee to be fixed by the portfolio manager.

14.6 If the client does not serve a notice in the prescribed manner before thirty days of expiry of this agreement, the agreement shall be deemed to have been renewed by the parties' further period of one year.

Article – 15 Dispute and Settlement

15.1 All questions of differences whatsoever which may at any time hereinafter arise in connection with or arising out of this Agreement (as the case may be) shall be resolved by arbitration tribunal consisting of a panel of three arbitrators; each party to nominate one arbitrator and the two arbitrators so nominated shall nominate the third arbitrator. The arbitration shall proceed in accordance with the Arbitration Act, 2001 and the language of arbitration proceedings shall be English. The award passed by the arbitration tribunal shall be final and binding upon the parties.

15.2 The venue of arbitration shall be in Dhaka, Bangladesh.

Article – 16 Governing Law and Jurisdiction

16.1 This Agreement shall be governed by the laws of Bangladesh.

Article – 17 Taxes

17.1 The Portfolio Manager shall make necessary tax deduction from the account(s) of the Client in accordance with the fiscal laws of the country.

Article – 18 Miscellaneous

The Portfolio Manager shall be fully authorized to modify or vary the terms and conditions contained herein for compliance with any prevailing or change of relevant laws relating to matters herein upon sending a notice to the Client.

DISCRETIONARY PORTFOLIO MANAGEMENT AGREEMENT

18.1 The Schedule(s) and any rider(s) attached hereto including the Portfolio Account Opening Form, together with this Agreement shall constitute the entire Agreement between the parties hereto and supplement this Agreement.

18.2 This Agreement is or shall be in compliance with the Bangladesh Securities and Exchange Commission (BSEC) laws and such rules, regulations, notices or circulars issued by the Bangladesh Securities and Exchange Commission from time to time.

In Witness whereof the parties hereto have caused this Agreement to be executed in duplicate by their respective duly authorized representatives as of the date and year first written above.

For and on behalf of the Portfolio Manager

LankaBangla Investments Limited
City Centre, Level-24
90/1 Motijheel C/A, Dhaka-1000

Signed By _____
Name :
Designation :

Signed By Principal Applicant _____
Name :
Address :

Signed By Joint Applicant _____
Name :
Address :

DECLARATION

1. I/We hereby agree to inform Portfolio Manager forthwith of any changes to my particulars set out above.
2. I/We have relationship/directorship with public listed company/companies ☐ Yes ☐ No

DECLARATION OF RELATIONSHIP/DIRECTORSHIP WITH LISTED COMPANIES

Date:
To: The Portfolio Manager
From: The Client

With reference to this Agreement between us, I/we hereby declare that I/we hold relationship/directorship in the following listed companies:

Name of Listed Companies	Shareholding (%)
a.	a.
b.	b.
c.	c.
d.	d.

3. The nominee(s) shall be entitled to all benefits of my/our account in case of my/our death.
4. I/We shall follow all provisions of BSEC Rules, Regulations, and Circulars etc. existing or to be issued in future.
5. I/We agree to comply all the terms and conditions of LankaBangla Investments Limited relating with the portfolio account.
6. I/We hereby agree and confirm that this portfolio account opened by me/us and the fund invested shall be governed by the terms and conditions set out in the product specific terms and conditions as may be modified from time to time by the Portfolio Manager and further shall be governed by applicable laws and regulations of the country.
7. I/We further agree and acknowledge that the Portfolio Manager shall maintain separate designated bank account(s) in a scheduled bank for keeping and managing the funds including the Initial Fund received from me/us or received on my/our account from time to time.
8. The Portfolio Manager shall have absolute discretion to make investment decision and execute trades through any number of designated registered broker of the stock exchanges.

I/We confirm that, I/We have read all the rules/ terms and conditions governing the account and will be bound to comply with the same. I/We consciously and with sound mind(s) declare that all the information furnished above is true and correct. I/ We will also furnish any additional documents and/or information as per your requirements.

Signature of Principal Applicant

Signature of Joint Applicant

KNOW YOUR CUSTOMER

Account Name :

Account No :

Identity Verification

☐ NID ☐ Passport ☐ Driving License ☐ Others _____

Address Verification

☐ Personal Visit ☐ Bank Statement ☐ Utility Bill ☐ Others _____

Occupation Identification

☐ Employee ID ☐ Visiting Card ☐ Student ID ☐ Trade License ☐ NRB (Work permit)
☐ Business Type _____ ☐ Others _____

Source of Fund

Monthly Income:

☐ Salary/Bonus ☐ Business ☐ Rental Income ☐ From Family Members ☐ Remittance ☐ Others _____

Political Person

☐ Yes ☐ No, If yes, approval from Senior Management

Spouse Name:

Profession:

Designation:

Monthly Income:

Company Name:

if Business _____

Children Information (if Any):

Name:

Name:

Name:

Age: Profession:

Age: Profession:

Age: Profession:

Institution:

Institution:

Institution:

Family Member Information (Father/Mother, Brother/Sister etc.) Particular(s):

Number of Dependent (Particulars):

Portfolio Account with LankaBangla Investments (If any)

Comments:

Relationship Manager/Officer

Signature & Date

Name :

Designation :

Relationship Manager/Officer

Signature & Date

Name :

Designation :

SIGNATURE CARD

Full Name (IN BLOCK LETTER)		Signature
Principal Applicant		
Joint Applicant		

Applicant Photo

Joint Applicant Photo

Full Name (IN BLOCK LETTER)		Signature
Nominee Name (1)		
Nominee Name (2)		
Guardian Name		

Nominee (1) Photo

Nominee (2) Photo

Guardian Photo

Introducer Information	Signature
Name :	
ID :	
Department:	

Date:	For Office Only	Portfolio Account No.:
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Operationnal Verification

Compliance Verification

Mismatch Declaration

Date:

To
Manager
Lankabangla Investments Ltd

Subject: General Declaration for Correct information

Dear Sir,

I declare that information provided below about myself / my nominee(s) are correct despite any mismatch in different documents provided such as Passport, National ID, Driving License, Birth Certificate, Educational Certificate(s) & Photo ID of Nominee(s).

Name of Account/Card Holder:	
Father's Name :	
Mother's Name :	
Spouse's Name (if applicable):	
Date of Birth :	
e-TIN (if applicable) :	
Name of Nominee:	
Nominee's Father's Name:	
Nominee's Mother's Name:	
Reason for the mismatch of information	
Reason for not submitting e-TIN	
Reason for not submitting NID	

Supported by:

- | | | |
|--|--|--|
| <input type="checkbox"/> National ID | <input type="checkbox"/> Passport | <input type="checkbox"/> Driving License |
| <input type="checkbox"/> Existing Relationship | <input type="checkbox"/> Birth Certificate | <input type="checkbox"/> Other..... |

I would appreciate if you consider the above declaration to process information of my Account. I confirm that the information provided through this declaration is true, complete and accurate and I have not willfully withheld any material fact. If needed, I shall provide any additional information/document at the request of the LankaBangla Investment Ltd

Sincerely,

Signature :

Name of Account :

Account Number/ Relationship Number (for existing customer):

Growing Together...



PORTFOLIO MANAGEMENT SERVICES

- Discretionary Portfolio Management (AlphaPlus)
- LankaBangla Nishchinto (Monthly Deposit Scheme)
- Discretionary IPO Management (AlphaPlus Initial)
- Non Discretionary Portfolio Management
 - Margin Loan
 - Cash Account
- In-depth Market Research

PRIMARY MARKET SERVICES

- Issue Management Services
 - Initial Public Offering (IPO)
 - Rights Offering
 - Private Placement (Local & Foreign)
 - Direct Listing
 - Repeat Public Offering (RPO)
 - Capital Raising
- Corporate Advisory Services
 - Capital Restructuring
 - IPO Readiness
 - Company Valuation
- Registrar to the Issue Services
- Underwriting Services

INVESTMENT BANKING SERVICES

- Corporate Advisory
- Corporate Restructuring
- Mergers and Acquisitions
- Equity Arrangement (Local & Foreign)
- Issue Management & Arrangement Services
 - Bond
 - Preference Share
 - Commercial Paper
 - Joint Advisory Services
- Advisory of Capital Repatriation

LankaBangla Investments Limited

City Centre, Level - 24, 90/1 Motijheel C/A, Dhaka-1000 Bangladesh
Phone : 02-9512621, 55110910-16, Fax : 02-9561107

e-mail : info@lankabangla-investments.com, Website : www.lankabangla-investments.com